

FORM PTO-1390  
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

405200002USD

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/700950

INTERNATIONAL APPLICATION NO.  
PCT/US98/14122INTERNATIONAL FILING DATE  
08 JULY 1998PRIORITY DATE CLAIMED  
09 JULY 1997TITLE OF INVENTION  
METHOD OF PREPREGGING WITH RESIN AND NOVEL PREPREGS PRODUCED BY SUCH METHODAPPLICANT(S) FOR DO/EO/US  
DYKSTERHOUSE, Joel A.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:



U.S. APPLICATION NO. (if known, see 37 CFR 1.51)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

09/700950

PCT/US98/14122

405200002USD

- 17.
- ☒
- The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 690.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	80 - 20 =	60	X \$18.00
Independent claims	5 - 3 =	2	X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00

\$1,080.00

\$ 160.00

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$

- ☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$

**SUBTOTAL =**

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

**TOTAL NATIONAL FEE =**

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

+

**TOTAL FEES ENCLOSED =**

\$1,930.00

Amount to be refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ 1,930.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Anna M. Budde  
 Harness, Dickey & Pierce, P.L.C.  
 P. O. Box 828  
 Bloomfield Hills, MI 48303

SIGNATURE:

Anna M. Budde

NAME  
35,085

REGISTRATION NUMBER

Please type a plus sign (+) inside this box → ☐

HDP/SB/21 based on PTO/SB/21 (08-00)

<b>TYPE JCT</b> <b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing) <b>PATENT &amp; TRADEMARK OFFICE</b>	<b>Application Number</b>	09/700950	
	<b>Filing Date</b>	November 20, 2000	
	<b>First Named Inventor</b>	Joel A. Dyksterhouse	
	<b>Group Art Unit</b>	Unknown	
	<b>Examiner Name</b>	Unknown	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	405200002USD

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Return Postcard</b>
<b>Remarks</b> The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed. The Response to Missing Parts is being filed in the Alternative to the Petition.		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual name</b>	Harness, Dickey & Pierce, P.L.C.	<b>Attorney Name</b>	Anna M. Budde	<b>Reg. No.</b>	35,085
<b>Signature</b>	Anna M. Budde				
<b>Date</b>	April 5, 2001				

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

<b>Typed or printed name</b>	Anna M. Budde		
<b>Signature</b>	Anna M. Budde	<b>Date</b>	April 5, 2001

<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; text-align: center; margin-right: 10px;"> <b>OFFICE</b>  <b>APR 10 2001</b>  <b>PATENT &amp; TRADEMARK OFFICE</b> </div> <div> <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2001</h3> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p> </div> </div>		<b>Complete if Known</b>	
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 0		Application Number: 09/700950	Filing Date: November 20, 2000
		First Named Inventor: Joel A. Dyksterhouse	Examiner Name: Unknown
		Group / Art Unit: Unknown	Attorney Docket No.: 40520002USD

<b>METHOD OF PAYMENT (check one)</b>				<b>FEE CALCULATION (continued)</b>																																																																																																																																																				
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account Number: 08-0750  Deposit Account Name: Harness, Dickey & Pierce, P.L.C. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				<b>3. ADDITIONAL FEES</b> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>130</td><td>130</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	65	Surcharge - late filing fee or oath		127	50	25	Surcharge - late provisional filing fee or cover sheet		139	130	130	Non-English specification		147	2,520	2,520	For filing a request for reexamination		112	920*	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	1,840*	Requesting publication of SIR after Examiner action		115	110	55	Extension for reply within first month		116	390	195	Extension for reply within second month		117	890	445	Extension for reply within third month		118	1,390	695	Extension for reply within fourth month		128	1,890	945	Extension for reply within fifth month		119	310	155	Notice of Appeal		120	310	155	Filing a brief in support of an appeal		121	270	135	Request for oral hearing		138	1,510	1,510	Petition to institute a public use proceeding		140	110	55	Petition to revive - unavoidable		141	1,240	620	Petition to revive - unintentional		142	1,240	620	Utility issue fee (or reissue)		143	440	220	Design issue fee		144	600	300	Plant issue fee		122	130	130	Petitions to the Commissioner		123	130	130	Petitions related to provisional applications		126	180	180	Submission of Information Disclosure Stmt		581	40	40	Recording each patent assignment per property (times number of properties)		146	710	355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	355	For each additional invention to be examined (37 CFR § 1.129(b))		179	710	355	Request for Continued Examination (RCE)		169	900	900	Request for expedited examination of a design application	
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2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				<b>1. BASIC FILING FEE</b> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td></td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <p style="text-align: right;"><b>SUBTOTAL (1)</b> (\$) 0</p>				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee		106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee																																																																																																														
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<b>2. EXTRA CLAIM FEES</b> <table style="width: 100%; font-size: small;"> <tr> <td>Total Claims</td> <td>-</td> <td>=</td> <td>0</td> <td>X</td> <td>Fee from below</td> <td>=</td> <td>0</td> </tr> <tr> <td>Independent Claims</td> <td>-</td> <td>=</td> <td>0</td> <td>X</td> <td></td> <td>=</td> <td>0</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td>X</td> <td></td> <td>=</td> <td>0</td> </tr> </table> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>** Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table> <p style="text-align: right;"><b>SUBTOTAL (2)</b> (\$) 0</p>				Total Claims	-	=	0	X	Fee from below	=	0	Independent Claims	-	=	0	X		=	0	Multiple Dependent				X		=	0	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple dependent claim, if not paid	109	80	209	40	** Reissue independent claims over original patent	110	18	210	9	** Reissue claims in excess of 20 and over original patent	Other fee (specify)  *Reduced by Basic Filing Fee Paid <b>SUBTOTAL (3)</b> (\$) 0																																																																																														
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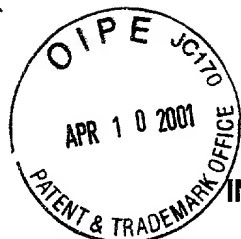
\*\*or number previously paid, if greater; For Reissues, see above

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Name (Print/Type)	Anna M. Budde	Registration No. Attorney/Agent	35,085	Telephone	248-641-1600
Signature				Date	

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

10 APR 2001



PATENT

#7/a

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/700,950

Filing Date: November 20, 2000

Applicant: Joel A. DYKSTERHOUSE

Group Art Unit:

Examiner:

Title: METHOD OF PREPREGGING WITH RESIN AND NOVEL  
PREPREGS PRODUCED BY SUCH METHOD

Docket No.: 405200002USD

**Preliminary Amendment Under 37 C.F.R. § 1.115**

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

Before examination of this application, please amend the claims as follows.

## AMENDMENTS

Please amend claim 3-23, 26-34, 38, 43, 44, 46, 49-51, and 66-71. Please see Attachment Showing Claim Amendments for a marked up version of the amended claim in which insertions are underlined and deletions are bracketed.

3. (amended) A process according to claim 1, wherein the first temperature is at least about 428°F (220°C).

4. (amended) A process according to claim 1, wherein the first temperature is at least about 446°F (230°C).

5. (amended) A process according to claim 1, wherein the first temperature is at least about 473°F (245°C).

6. (amended) A process according to claim 1, wherein the first temperature is at least about 500°F (260°C).

7. (amended) A process according to claim 1, wherein the first temperature is at least about 536°F (280°C).

8. (amended) A process according to claim 1, wherein the temperature of the molten resin composition is at least about 75°F (42°C) lower than the first temperature.

9. (amended) A process according to claim 1, wherein the temperature of the molten resin composition is at least about 100°F (56°C) lower than the first temperature.

10. (amended) A process according to claim 1, wherein the temperature of the molten resin composition is at least about 150°F (83°C) lower than the first temperature.

11. (amended) A process according to claim 1, wherein the temperature of the molten resin composition is at least about 200°F (111°C) lower than the first temperature.

12. (amended) A process according to claim 1, wherein the temperature of the molten resin composition is up to about 500°F (278°C) above the first temperature.

13. (amended) A process according to claim 1, wherein the fiber material is contacting the molten resin composition for no more than about 5 seconds before cooling of the molten resin composition begins.

14. (amended) A process according to claim 1, wherein the fiber material is contacting the molten resin composition for no more than about 2 seconds before cooling of the molten resin composition begins.

15. (amended) A process according to claim 1, wherein the fiber material is contacting the molten resin composition for no more than about 1 second before cooling of the molten resin composition begins.

16. (amended) A process according to claim 1, wherein the fiber material is impregnated at a rate of at least about 10 feet (305 cm) per minute.

17. (amended) A process according to claim 1, wherein the fiber material is impregnated at a rate of at least about 20 feet (610 cm) per minute.

18. (amended) A process according to claim 1, wherein the fiber material is impregnated at a rate of at least about 30 feet (914 cm) per minute.

19. (amended) A process according to claim 1, wherein the fiber material is impregnated at a rate of about at least 40 feet (1220 cm) per minute.

20. (amended) A process according to claim 1, wherein the viscosity of the molten resin composition is at least about 125 Pascals.
21. (amended) A process according to claim 1, wherein the viscosity of the molten resin composition is at least about 150 Pascals.
22. (amended) A process according to claim 1, wherein the viscosity of the molten resin composition is at least about 200 Pascals.
23. (amended) A process according to claim 1, wherein the viscosity of the molten resin composition is from about 125 to about 250 Pascals.
26. (amended) A process according to claim 1, wherein the reinforcing material is a filament bundle.
27. (amended) A process according to claim 1, wherein the reinforcing material comprises a material selected from the group consisting of glass fibers, carbon fibers, graphite fibers, polymeric fibers, aramide fibers, and mixtures thereof.
28. (amended) A process according to claim 1, wherein the reinforcing material comprises a high silica glass fiber.
29. (amended) A process according to claim 1, wherein the reinforcing material is coated with a sizing or finishing material.
30. (amended) A process according to claim 1, wherein the reinforcing material comprises a high silica glass fiber coated with a sizing.
31. (amended) A process according to claim 1, wherein the resin composition comprises at least one resin selected from the group consisting of ABS, acrylics,



acrylonitriles, epoxies, polyarylether ketones, polyether etherketones, amino resins, phenolic resins, polyamides, polyimides, polyolefins, polycarbonates, polyesters, polyetherimides, polyarylene sulfides, polyvinyl resins, polyurethanes, polysulfones, and copolymers and mixtures thereof.

32. (amended) A process according to claim 1, wherein the resin composition is a thermoplastic composition.

33. (amended) A process according to claim 1, wherein the resin composition comprises at least one thermosetting resin selected from the group consisting of epoxies, polyesters, and phenolic resins.

34. (amended) A process according to claim 1, including the further step of drawing the prepreg material through a sizing die.

38. (amended) A process according to claim 1, including a further step of providing a cladding layer to the impregnated fiber material.

43. (amended) A process according to claim 1, including a further step of cutting the impregnated fiber material into lengths of from about 3 mm to about 76 mm.

44. (amended) A process according to claim 1, wherein the impregnated fiber material is a flattened fiber bundle.

46. (amended) A process according to claim 1, wherein the resin composition includes a resin capable of forming crystalline regions upon cooling.

49. (amended) A process according to claim 1, comprising a further step of forming the prepreg into a desired shape.

50. (amended) A process according to claim 49, wherein the prepreg is formed by a method selected from the group consisting of lay-up, compression molding, injection molding, thermoforming, blow molding, calendering, extrusion, casting, laminating, filament winding, rotational molding, transfer molding, stamping, and weaving operations, and combinations thereof.

51. (amended) An article formed by the process of claim 1.

66. (amended) An apparatus according to claim 63, wherein at least one shear pin has an opening to provide the molten resin composition.

67. (amended) An apparatus according to claim 63, wherein at least one shear pin is connected to a heat source for heating the shear pin.

68. (amended) An apparatus according to claim 62, wherein said container further comprises a unit for applying pressure to the resin composition.

69. (amended) An apparatus according to claim 62, wherein said outlet is a sizing die.

70. (amended) An apparatus according to claim 62, further including molding equipment for forming the reinforced matrix resin composition into an article of a desired shape.

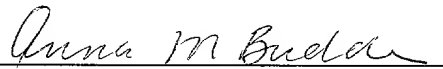
71. (amended) An apparatus according to claim 62, wherein the heater is selected from the group consisting of radiant heaters, inductive heaters, infrared tunnels, ovens, and combinations thereof.

## REMARKS

The claims have been amended to remove the multiple dependencies. In addition, claim 23 has been amended to correct a typing error and claim 50 has been amended to correct its dependency.

Examination of the application is respectfully requested.

Respectfully submitted,



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### Attachment Showing Claim Amendments

The following is a marked up version of the amended claim in which insertions are underlined and deletions are bracketed.

3. (amended) A process according to [either] claim 1 [or claim 2], wherein the first temperature is at least about 428°F (220°C).
4. (amended) A process according to [either] claim 1 [or claim 2], wherein the first temperature is at least about 446°F (230°C).
5. (amended) A process according to [either] claim 1 [or claim 2], wherein the first temperature is at least about 473°F (245°C).
6. (amended) A process according to [either] claim 1 [or claim 2], wherein the first temperature is at least about 500°F (260°C).
7. (amended) A process according to [either] claim 1 [or claim 2], wherein the first temperature is at least about 536°F (280°C).
8. (amended) A process according to [any of claims 1-7] claim 1, wherein the temperature of the molten resin composition is at least about 75°F (42°C) lower than the first temperature.
9. (amended) A process according to [any of claims 1-7] claim 1, wherein the temperature of the molten resin composition is at least about 100°F (56°C) lower than the first temperature.
10. (amended) A process according to [any of claims 1-7] claim 1, wherein the temperature of the molten resin composition is at least about 150°F (83°C) lower than the first temperature.

11. (amended) A process according to [any of claims 1-7] claim 1, wherein the temperature of the molten resin composition is at least about 200°F (111°C) lower than the first temperature.
12. (amended) A process according to [any of claims 1-11] claim 1, wherein the temperature of the molten resin composition is up to about 500°F (278°C) above the first temperature.
13. (amended) A process according to [any of claims 1-12] claim 1, wherein the fiber material is contacting the molten resin composition for no more than about 5 seconds before cooling of the molten resin composition begins.
14. (amended) A process according to [any of claims 1-12] claim 1, wherein the fiber material is contacting the molten resin composition for no more than about 2 seconds before cooling of the molten resin composition begins.
15. (amended) A process according to [any of claims 1-12] claim 1, wherein the fiber material is contacting the molten resin composition for no more than about 1 second before cooling of the molten resin composition begins.
16. (amended) A process according to [any of claims 1-15] claim 1, wherein the fiber material is impregnated at a rate of at least about 10 feet (305 cm) per minute.
17. (amended) A process according to [any of claims 1-15] claim 1, wherein the fiber material is impregnated at a rate of at least about 20 feet (610 cm) per minute.
18. (amended) A process according to [any of claims 1-15] claim 1, wherein the fiber material is impregnated at a rate of at least about 30 feet (914 cm) per minute.
19. (amended) A process according to [any of claims 1-15] claim 1, wherein the fiber material is impregnated at a rate of about at least 40 feet (1220 cm) per minute.

20. (amended) A process according to [any of claims 1-19] claim 1, wherein the viscosity of the molten resin composition is at least about 125 Pascals.

21. (amended) A process according to [any of claims 1-19] claim 1, wherein the viscosity of the molten resin composition is at least about 150 Pascals.

22. (amended) A process according to [any of claims 1-19] claim 1, wherein the viscosity of the molten resin composition is at least about 200 Pascals.

23. (amended) A process according to [any of claims 1-19] claim 1, wherein the viscosity of the molten resin composition is from about 125 to [about250] about 250 Pascals.

26. (amended) A process according to [any of claims 1-25] claim 1, wherein the reinforcing material is a filament bundle.

27. (amended) A process according to [any of claims 1-26] claim 1, wherein the reinforcing material comprises a material selected from the group consisting of glass fibers, carbon fibers, graphite fibers, polymeric fibers, aramide fibers, and mixtures thereof.

28. (amended) A process according to [any of claims 1-27] claim 1, wherein the reinforcing material comprises a high silica glass fiber.

29. (amended) A process according to [any of claims 1-28] claim 1, wherein the reinforcing material is coated with a sizing or finishing material.

30. (amended) A process according to [any of claims 1-29] claim 1, wherein the reinforcing material comprises a high silica glass fiber coated with a sizing.

31. (amended) A process according to [any of claims 1-30] claim 1, wherein the resin composition comprises at least one resin selected from the group consisting of ABS, acrylics, acrylonitriles, epoxies, polyarylether ketones, polyether etherketones, amino resins, phenolic resins, polyamides, polyimides, polyolefins, polycarbonates, polyesters, polyetherimides, polyarylene sulfides, polyvinyl resins, polyurethanes, polysulfones, and copolymers and mixtures thereof.

32. (amended) A process according to [any of claims 1-31] claim 1, wherein the resin composition is a thermoplastic composition.

33. (amended) A process according to [any of claims 1-31] claim 1, wherein the resin composition comprises at least one thermosetting resin selected from the group consisting of epoxies, polyesters, and phenolic resins.

34. (amended) A process according to [any of claims 1-33] claim 1, including the further step of drawing the prepreg material through a sizing die.

38. (amended) A process according to [any of claims 1-37] claim 1, including a further step of providing a cladding layer to the impregnated fiber material.

43. (amended) A process according to [any of claims 1-37] claim 1, including a further step of cutting the impregnated fiber material into lengths of from about 3 mm to about 76 mm.

44. (amended) A process according to [any of claims 1-37] claim 1, wherein the impregnated fiber material is a flattened fiber bundle.

46. (amended) A process according to [any of claims 1-45] claim 1, wherein the resin composition includes a resin capable of forming crystalline regions upon cooling.

49. (amended) A process according to [any of claim 1-48] claim 1, comprising a further step of forming the prepreg into a desired shape.

50. (amended) A process according to claim [35] 49, wherein the prepreg is formed by a method selected from the group consisting of lay-up, compression molding, injection molding, thermoforming, blow molding, calendering, extrusion, casting, laminating, filament winding, rotational molding, transfer molding, stamping, and weaving operations, and combinations thereof.

51. (amended) An article formed by the process of [any of claims 1-50] claim 1.

66. (amended) An apparatus according to [one of claims 63-65] claim 63, wherein at least one shear pin has an opening to provide the molten resin composition.

67. (amended) An apparatus according to [any of claims 63-66] claim 63, wherein at least one shear pin is connected to a heat source for heating the shear pin.

68. (amended) An apparatus according to [any of claims 62-67] claim 62, wherein said container further comprises a unit for applying pressure to the resin composition.

69. (amended) An apparatus according to [any of claims 62-68] claim 62, wherein said outlet is a sizing die.

70. (amended) An apparatus according to [any of claims 62-69] claim 62, further including molding equipment for forming the reinforced matrix resin composition into an article of a desired shape.



71. (amended) An apparatus according to [any of claims 62-70] claim 62, wherein the heater is selected from the group consisting of radiant heaters, inductive heaters, infrared tunnels, ovens, and combinations thereof.

**METHOD OF PREPREGGING WITH RESIN  
AND NOVEL PREPREGS PRODUCED BY SUCH METHOD**

**FIELD OF THE INVENTION**

This invention concerns fiber reinforced compositions and articles and methods  
5 for producing such compositions and articles.

In particular, the present invention provides an apparatus and a method of  
prepregging reinforcing materials such as fibers with resinous or polymeric materials,  
especially with thermoplastic resin or polymer compositions, and the prepregs produced  
by such apparatus or method. The invention further provides a method of using such  
10 prepregs to form articles having highly desirable properties and to the articles produced  
thereby.

**BACKGROUND AND SUMMARY OF THE INVENTION**

Reinforced thermoplastic and thermoset materials have wide application in, for  
example, the aerospace, automotive, industrial/chemical, and sporting goods industries.

15 Thermosetting resins are impregnated into the reinforcing material before curing, while  
the resinous materials are low in viscosity. Thermoplastic compositions, in contrast, are  
more difficult to impregnate into the reinforcing material because of comparatively higher  
viscosities. On the other hand, thermoplastic compositions offer a number of benefits  
over thermosetting compositions. For example, thermoplastic prepregs are easier to  
20 fabricate into articles. Another advantage is that thermoplastic articles formed from such  
prepregs may be recycled. In addition, a wide variety of properties may be achieved by  
proper selection of the thermoplastic matrix.

Fiber-reinforced plastic materials may be manufactured by first impregnating the  
fiber reinforcement with resin to form a prepreg, then consolidating two or more prepregs  
25 into a laminate, optionally with additional forming steps. Consolidation is typically  
necessary to remove voids that result from the inability of the resin to fully displace air  
from the fiber bundle, tow, or roving during the processes that have been used to  
impregnate the fibers with resin. The individually impregnated roving yarns, tows, plies,  
or layers of prepregs are usually consolidated by heat and pressure, or with heat and  
30 vacuum as by vacuum-bag molding and compacting in an autoclave. The consolidation  
step has generally required the application of very high pressures or vacuums at high  
temperatures and for relatively long times. Alternatively, the prepreg fiber bundle is

chopped or pelletized and then used in a molding or extrusion process, with or without other polymeric materials or reinforcements, to produce molded or extruded articles.

In the past, a thermoplastic composition has typically been heated, slurried, commingled, or diluted with solvents, plasticizers, or other low molecular weight materials in order to reduce the viscosity of the composition before it is used to impregnate the reinforcing material. These methods have suffered from serious drawbacks. In the case of using solvent to reduce viscosity, the solvent must be driven off after the impregnation step, resulting in an additional step in the process as well as unwanted emissions. Moreover, the desired thermoplastic composition may be insoluble in or incompatible the desired diluent.

In the case of heating the thermoplastic matrix composition to a temperature at which its viscosity is low enough for satisfactory impregnation of the fiber, the dwell time of the resin in the heated zone may result in degradation of the resin with attendant decrease in the desired mechanical properties. Furthermore, the molecular weight of the resin may need to be kept lower than would be desired for properties of the ultimate product in order to facilitate the impregnation step. Finally, as noted above, known processes for impregnating thermoplastic resin into reinforcing materials have required lengthy consolidation of the prepreg materials at high temperatures and pressures in order to develop the best physical strength and other properties and to minimize or eliminate outgassing during the consolidation or in later steps, e.g., finishing processes. Outgassing during consolidation results in voids within the composite that can cause microcracking or premature delamination that may adversely affect mechanical properties; outgassing during coating steps tends to cause pinholing or popping in the substrate or coating, resulting in an undesirably rough and blemished surfaces or finishes.

Cogswell et al., U.S. Patents. No. 5,213,889; 5,019,450; 4,559,262; and 4,549,920 (all of which are incorporated herein by reference), teach that impregnation of fibers with thermoplastic polymers requires (1) a relatively low molecular weight polymer to give sufficiently low melt viscosity (less than 30 Ns/m<sup>2</sup>; Table 1 showing increasingly poor fiber wetting as the 30 Ns/m<sup>2</sup> limit is approached), (2) having within the molten polymer bath a spreader with an external heat input to heat the polymer in the immediate vicinity of the spreader to a relatively high temperature, (3) slow rates of throughput (Table 2 showing significantly decreased fiber wetting at 60 cm/min, as compared to 36 cm/min), and (4) long dwell times of the fibers in the molten polymer bath (dwell time shown in Examples 1 and 5 to be 30 seconds). Conventional grades of thermoplastic

material (i.e., having the molecular weights generally using in forming articles from thermoplastic materials) cannot satisfactorily wet out fibers in the Cogswell process, as is shown by patentee's Example 4.

5 The present invention provides the ability to form prepregs using higher molecular weight polymers in order to achieve the highest possible strength and to optimize other physical properties in articles formed therefrom. In addition, the present inventive process is lower cost and more efficient than previous processes because the present process allows a faster throughput rate and shorter dwell time in the molten polymer bath, while at the same time producing a prepreg in which the fibers are substantially fully  
10 wet out.

In another previously known process, Cogswell et al., U.S. Patents No. 4,783,349; 4,735,828; and 4,624,886 (all of which are incorporated herein by reference), use a low molecular weight plasticizer to reduce the melt viscosity of a thermoplastic impregnating resin. The filaments of the fiber bundle are pre-wetted with the plasticizer before entering  
15 the molten polymer bath, which may include a further metal plasticizer. The plasticizer is removed by volatilization when it is not desired in the final product. Thus, production of materials with high strength and other physical properties for which plasticization is undesirable requires not only the pre-wetting step but also a step of evaporating or otherwise extracting the plasticizer following impregnation. The process is also illustrated  
20 for fabrics woven of reinforcing fibers, and patentees teach that the process does not require significant mechanical work input in the form of tensioning the fiber. Cogswell et al., in U.S. Patent No. 4,541,884 (which is incorporated herein by reference), teach a process in which a plasticizer is incorporated in the molten thermoplastic polymer bath to decrease the viscosity of the molten bath. The plasticizer is volatilized from the prepreg  
25 in a further process step.

It is undesirable to plasticize the polymer in many applications, for example when higher tensile strength is important. Moreover, the extra steps of impregnating a tow or fiber bundle with a plasticizer and volatilizing or extracting the plasticizer after the impregnation step add expense and make the process cumbersome.

30 Cochran et al., U.S. Pat. No. 5,236,646 (which is incorporated herein by reference), disclose that a process using vacuum of up to about 28 inches of mercury below atmospheric pressure and temperatures above the melting point of the resin requires a shorter time for consolidation as compared to a process that uses high consolidation pressures of from about 100 to 300 psi. However, the consolidation step  
35 still requires a dwell time under vacuum of up to sixty minutes or more.

Because the length of time typically required to properly consolidate the prepreg plies determines the production rate for the part, it would be desirable to achieve the best consolidation in the shortest amount of time. Moreover, lower consolidation pressures or temperatures and shorter consolidation times will result in a less expensive production process due to lowered consumption of energy per piece for molding and other manufacturing benefits.

The present invention provides a new process for preparing preregs, the novel preregs produced by such a process, and articles of reinforced materials that offers significant advantages over the processes described above. In a method according to the present invention, the reinforcing material is heated before being impregnated with the resinous or polymeric matrix composition. The temperature to which the reinforcing material is heated is significantly higher than the temperature of the resinous matrix composition at which the impregnation takes place. The high temperature of the reinforcing fiber allows the dwell time in the resin bath to be much shorter, and the rate of production of the prepreg material to be much faster, as compared to previously known methods. The impregnated roving or tow that is produced according to the present inventive process has substantially no voids and can therefore be quickly and easily formed into a desired article having no voids or essentially no voids without the lengthy consolidation processes necessary for preregs formed by other processes. In other words, the roving bundle is fully, or substantially fully, wet out in the prepreg of the invention. The only process that must take place in forming an article is fusion between impregnated bundles, and it is possible to use temperatures, pressures, and/or times during such forming operations that are significantly reduced over prior art processes.

The present invention also provides a method of making a molded article using the prepreg of the present invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a schematic diagram for a preferred apparatus of the invention used in a method of the invention that is demonstrated by Examples 1 and 2.

Figure 2 is a schematic diagram for a preferred apparatus of the invention used in a method of the invention that is demonstrated by Example 3.

Figure 3 is a schematic diagram for a preferred apparatus of the invention used in a method of the invention that is demonstrated by Example 4.

#### DETAILED DESCRIPTION

The process of the invention includes heating a fiber or other reinforcing material to a first temperature; bringing the heated reinforcing material into contact with a molten resin composition, during which contact the fiber or reinforcing material is under an applied shear, to form a prepreg; and, optionally, further forming the prepreg into a desired shape. The invention further provides a prepreg having exceptionally few voids or substantially no voids that can be formed into a desired product more quickly and easily than prepregs that are now known in the art. Presence of voids may be determined or measured by measuring the density of the prepreg or article as compared to the density expected for a prepreg in which the fiber is that is completely wet out or by visual observation with the aid of a microscope. The fiber in the prepregs of the invention is thus substantially or completely wet out. By saying that the reinforcing material is "substantially wet out" or that the prepreg has "substantially no voids" I mean that the degree of wetting of the fiber approaches 100%, and preferably is at least about 95%, more preferably at least about 98% and even more preferably at least about 99%. The degree of wetting for the prepregs made according to the invention is usually 100%.

The term "prepreg" as used herein preferably refers to a composite, whether in rod, rope, fiber, roving, strand, tow, sheet, or other form, which comprises a reinforcing fiber or other such substrate impregnated with a resin composition. The present process is especially useful when the resin is a thermoplastic resin, particularly for thermoplastic polymers of higher molecular weights and/or of higher melt viscosities that are desired for forming articles of greater strength and toughness than is available from lower molecular weight polymers. The method of the invention may also be utilized for uncured or partially cured thermoset resins. The present invention is particularly advantageous for thermosetting composition when the viscosity of the composition at the desired processing temperature would otherwise make processing difficult or result in degradation of the resin. For example, the present methods are particularly suitable for so-called "pseudo thermoplastic" materials that exhibit behaviors during prepregging similar to those of true thermoplastic materials. The present inventive processes are also advantageous for heating the reinforcing material to a temperature that will cause partial curing of the thermosetting material when such partial curing is desired before forming the final article. Finally, the invention includes a method of thermoset prepregging for thermosetting compositions having a short "pot life" at the temperature needed to produce a resin viscosity suitable for other prepregging processes. "Pot life" is a term of art that describes the interval of time after mixing during which a thermosetting

composition may be used before it sets up (i.e., before the viscosity build up due to crosslinking makes the composition unworkable).

All types of continuous fiber material or other reinforcing materials commonly used for these applications may be used in the processes of the invention. It is also possible for a roving bundle or tow to be shaped before being impregnated, for example to be flattened to a tape. Useful fibers include, without limitation, glass fibers, carbon and graphite fibers, jute, polymeric fibers including aramide fibers, boron filaments, ceramic fibers, metal fibers, asbestos fibers, beryllium fibers, silica fibers, silicon carbide fibers, and so on. The fibers may be conductive and such conductive fibers, for example conductive carbon fibers or metal fibers, may be used to produce articles for conductive or static charge dissipative applications or EMI shielding. By "continuous fiber material" it is meant a fibrous product in which the fibers or filaments are sufficiently long to give the roving or tow sufficient strength to withstand a tension of at least about 0.25 pounds without breaking, and preferably to be impregnated according to the process of the invention without breaking as frequently as to render the process unworkable. In order to have sufficient strength for the roving or tow to be pulled through the impregnation system without breaking, the majority of the continuous fibers of the bundle should lie in substantially the direction in which the fiber is being drawn. In the case of reinforcing materials that may also include randomly oriented fibers, such as certain mats, usually at least about 50% by volume of the filaments should be aligned in the direction of the draw.

Glass fibers, in particular, are available in a number of different kinds, including E-glass, ECR-glass (a modified E-glass that is chemically resistant), R-glass, S-glass and S-2 glass, C-glass, and hollow glass fibers. For many applications glass fibers of higher modulus will be preferred; thus, the order of preference among the glass fibers from more preferred to less preferred is S-2, C, R, then E. Commercially available fibers include Nenxtel™ ceramic fiber (from 3M); Vectran™ (from Hoechst-Celanese); and Hollow-X™ (from Owens-Corning).

The fiber filaments are usually formed into a bundle, called a roving or tow, of a given uniform cross-sectional dimension. The fibers of the bundle are usually all of the same type, although this is not essential to the present method. The fibers are sufficiently long to form a continuous roving or tow with enough integrity to be employed in the present method without a frequency of breaking that would render the method unworkable. The number of filaments in the roving or tow can be varied and a wide range of filaments are included in rovings known in the art. Commercially available glass rovings may include 8000 or more continuous glass filaments. Carbon fiber tapes can

contain 6000 or more filaments. Rovings may be formed using different thicknesses of filaments, also, such as, without limitation, filament thickness from about 5 microns to about 30 microns. Standard glass materials available, for example, that can be employed in the method of the invention include, without limitation, 125 yield to 3250 yield bundles. (The yield refers to the yards of glass per pound.) One preferred reinforcing material is a 675 yield glass roving.

For a particular impregnating matrix composition, a reinforcement should be chosen that can withstand the temperatures and shear suitable for producing the desired prepreg. In particular, if a fiber is coated with a sizing or finishing material, the material should be one that is stable and remains on the fiber at the selected processing temperature. A sizing or finishing material, if employed, may be selected and applied according to customary means. Unsized fibers such as carbon are advantageously employed in some applications in order to optimize mechanical properties. In one preferred embodiment, fiberglass filaments are impregnated with a thermoplastic resin. Fiberglass filaments typically are coated with a sizing and/or finishing material. The sizing material or finishing material used is selected to be able to withstand the temperatures to which the fiberglass is heated during the process. One preferred sizing is Owens Corning 193/933.

In a preferred method of the invention, a continuous feed of a continuous fibrous reinforcing material is heated and introduced into a molten composition of the matrix polymer or resin material. The apparatus of the invention thus includes a heater for heating the fibrous reinforcing material and a container in which the molten impregnating resin composition is disposed. In a preferred embodiment, the container has an inlet through which the fibrous reinforcing material enters the container and an outlet through which the fibrous reinforcing material exits the container. The heater is located so that it can provide the fibrous reinforcing material to the inlet to the container with the fibrous reinforcing material being at a temperature that is sufficient to produce a prepreg having no voids or substantially no voids. The temperature to which the fibrous reinforcing material is heated is thus sufficient to cause the impregnating resin to fully or substantially fully wet out the fibrous reinforcing material. In particular, the heater is one that is capable of heating the reinforcing material to a temperature above the temperature of the molten matrix resin, and, preferably, the heater is one that is capable of heating the reinforcing material to a temperature of above about 350°F and up to about 800°F, as measured at the inlet of the container. Preferably, the reinforcing material is heated to at least about 392°F (200°C), more preferably at least about 428°F (220°C), still more



preferably at least about 446°F (230°C), yet more preferably at least about 473°F (245°C), and temperatures at or above about 500°F (260°C) or even at or above about 536°F (280°C) may be preferred, depending upon the impregnating polymer.

The fiber material should be a temperature that is sufficiently higher than the  
5 temperature of the molten resin or polymer composition so as to provide for a viscosity of the resin or polymer at the interface with the fiber bundle that is sufficiently low to fully wet out the fiber. In a preferred embodiment, the fiber material is heated to a temperature that is at least about 75°F (42°C) higher than the temperature of the molten resin composition. Even more preferred are embodiments in which the fiber material is at  
10 least about 100°F (56°C), even more preferably about 150°F (83°C), and more preferably yet about 200°F (111°C) hotter than the molten resin composition. It has been found that the fiber material may be up to about 500°F (278°C) or more hotter than the molten resin composition in practicing the present invention. It is also preferred for the fiber temperature to be from about 75°F to about 200°F above the temperature of the molten  
15 polymer bath. "Fiber temperature" in terms of the invention refers to the actual temperature of the fiber after exiting the oven, and not to the temperature of the oven. The actual temperature of the fiber will usually be significantly lower than the temperature of the oven. Thus, the oven may be short and be much hotter than the desired fiber temperature, or may be longer and be closer to the desired fiber temperature. In one  
20 preferred embodiment, the oven temperature is from about 100°F to about 1000°F hotter than the desired temperature of the fiber, and the length of the oven provides a dwell time of at least about 0.5 second, preferably from about 0.5 to about 10 seconds, more preferably from about 1 second to about 3 seconds.

The fiber bundle, roving, tow, tape, or other reinforcing material is heated to a  
25 selected temperature above the melting point, softening point, or glass transition temperature ( $T_g$ ) of the impregnating resin matrix composition. (Which of these — melting point, softening point, or  $T_g$  — a particular composition has depends upon the particular characteristics of the composition, as whether the composition comprises an amorphous or crystalline impregnating resin, but is not critical to the invention.) The  
30 temperature to which the fibrous reinforcing material is heated is sufficient to produce a prepreg having no voids or substantially no voids. The temperature to which the fibrous reinforcing material is heated is thus sufficient to cause the impregnating resin to fully or substantially fully wet out the fibrous reinforcing material. In a preferred embodiment of the invention, the reinforcement is heated to at least about 25°F, preferably to at least  
35 about 50°F, still more preferably to at least about 75°F, and even more preferably to at

least about 100°F above the melting point, softening point, or  $T_g$  of the resin matrix composition; and up to about 500°F, preferably up to about 400°F, particularly preferably up to about 350°F, and even more preferably up to about 300°F above the melting point, softening point, or  $T_g$  of the resin matrix composition. In a preferred embodiment, the reinforcing material is heated to a temperature above about 350°F, and below about 800°F. Some considerations in the choosing a particular temperature to which to heat the reinforcement will be the distance that it must travel through the resin bath, the speed with which it is pulled through the bath, the viscosity of the resin in the bath, and the shear produced at the surface of the reinforcement. Because the length of time to which the matrix resin composition is exposed to such temperature is relatively short, the roving bundle or tow may be heated even to temperatures that might otherwise cause thermal degradation of the matrix resin composition.

The means for heating the fiber is not generally critical, and may be chosen from any number of means generally available for heating materials. Particular examples of such means include, without limitation, radiant heat, inductive heating, infrared tunnels, or heating in an oven or furnace, e.g. an electric or gas forced air oven. Insufficient heating may result in undesirable resin conglomeration at the surface of the roving bundle, tow, or other reinforcement. Thus, the temperature to which the roving bundle is heated should be sufficient to allow the resin to flow between the filaments or fibers to impregnate the roving or tow in a substantially uniform way. The methods of the invention allow the resin matrix composition to impregnate the fiber bundle instead of agglomerating at the surface of the fiber bundle. The particular temperature chosen will depend upon factors that would be obvious to the person of skill in the art, such as the particular type of resin used, the denier of the fiber, and the profile or size of the bundle and can be optimized by straightforward testing according to the ultimate application method. Preferably, the reinforcing material is heated above the temperature of the impregnating matrix composition. In a preferred embodiment of the present process, fiberglass coated with Owens Corning 193/933 sizing is heated to above about 350°F, and below about 800°F.

The matrix resin compositions used in the methods of the invention may be thermoset or, preferably, thermoplastic resin compositions. Virtually any thermoplastic resin suitable for forming into articles by thermal processes, molding, extrusion, or other such processes may be employed in the methods of the invention. For example, and without limitation, the following thermoplastic materials may advantageously be used: acrylonitrile-butadiene-styrene (ABS) resins; acetal resins; acrylics; acrylonitriles (AN);

allyl resins; cellulose; epoxies; polyarylether ketones; polyether etherketones (PEEK); liquid crystal polymers, such as those sold under the tradename Xydar by Amoco Polymers Inc., Atlanta, GA; amino resins, including melamine, melamine formaldehyde resins, urea formaldehyde resins, guanidines, and so on; phenolics; polyamides, such as  
5 poly(tetra-methylene) adipamide and polyphthalamide; polyimides; polyamide-imide resins; polyolefins, such as polyethylene, polypropylene, and polybutylene homopolymers and copolymers, including such polymers that are modified with other materials such as maleic anhydride; polycarbonates; polyesters, such as polyalkylene terephthalates including, without limitation, polybutylene terephthalate (PBT) and polyethylene  
10 terephthalate (PET); polyimides and polyetherimides; polyphenylene oxide; polyarylene sulfites such as polyphenylene sulfite; polyarylene sulfides such as polyphenylene sulfide; polyvinyl resins, including, without limitation, polystyrene(PS) and copolymers of styrene such as styrene-acrylonitrile copolymer (SAN), polyvinyl chloride (PVC), and polyvinylphenylene chloride; polyurethanes; and polysulfones, including, without  
15 limitation, polyaryl-ether sulfones, polyether sulfones, and polyphenyl sulfones. The thermoplastic resins may have melting points, softening points, or  $T_g$ s ranging up to about 750°F. Mixtures of two or more resins may also be used. Preferred thermoset resin compositions include epoxies that cure with amines, acids, or acid anhydrides and polyesters that cure through unsaturation, as well as bismaleimides, polyimides, and  
20 phenolics.

The matrix compositions may include one or more additives, such as impact modifiers, mold release agents, lubricants, thixotropes, antioxidants, UV absorbers, heat stabilizers, flame retardants, pigments, colorants, nonfibrous reinforcements and fillers, impact modifiers such as ionomers or maleated elastomers, and other such customary  
25 ingredients and additives. In the case of a thermoset resin composition, a catalyst or initiator for the curing reaction may advantageously be included. The thermoplastic polymer compositions used in the process of the invention preferably do not include plasticizers.

The bath container may be, for example, a tank, a tube, an impregnation die, or  
30 any other container of suitable size to accommodate both the resin and the fibrous reinforcing material. In a preferred embodiment, there is a shearing mechanism through which the fiber bundle passes to help wet out the individual filaments. While in the molten polymer bath, the fibrous reinforcing material passes through the shearing mechanism. For example, the container may be a tank having as an inlet a tapered die,  
35 an outlet a sizing die, and as a shearing mechanism at least one shear pin, and

preferably at least a pair of shear pins. The container may further comprise an opening through which pressure is applied to the molten resin, for example by a piston. In another example, the container may be an impregnation die, having an inlet for a fiber, an inlet for resin, a pair of die shear pins through which the fiber is pulled, and an outlet.

5 The shear pins may have a radius of from about 0.1 inch to about 1 inch, preferably from about 0.2 inch to about 0.5 inch, even more preferably about 0.25 inch. Any of the individual components of the bath apparatus may be heated or may be used to convey heat to the molten resin composition.

In one aspect of the invention, the heated roving bundle is moved through a bath

10 of molten impregnating matrix resin composition, preferably with a shear sufficient to aid in the flow of the resin into the roving bundle. Shear may be created by moving a roving across two displaced and opposing pins located in the matrix resin bath. Shear force may be created by applying tension to the fiber or roving bundle while the fiber or roving bundle is passing over and around these pins. Greater shear may be created by

15 increasing the separation of the pins or by increasing the tension on the creel, for example with the aid of a magnetic brake. The shear pins are preferably displaced horizontally as well as vertically. The displacement in each direction may be at least about 0.2 inch, preferably at least about 0.5 inch. Displacements in either or both directions is preferably from about 0.5 inch to about 3 inches, and more preferably from

20 about 0.75 to about 2 inches. The fibers are pulled through the apparatus of the process (including oven and molten polymer bath) with a tension of at least about one-quarter pound and less than a tension that would cause the tow or roving to break too often to be workable. It is more preferred for the tension to be at least about 2 pounds and no more than about 8 pounds. Tensions of from about 3 pounds to about 5 pounds are

25 particularly preferred. In general, the shear should be increased when the viscosity of the resin increases. In a preferred embodiment, the fibers are heated to a temperature approaching the degradation temperature of the resin. At higher temperatures, the viscosity of the resin that comes into contact with the heated fiber is minimized and, consequently, the shear required to move the fiber through the resin is minimized. In a

30 preferred embodiment the shear pin or pins are heated to a temperature above the temperature of the molten resin composition. Heating the shear pins will heat the resin composition in the immediate vicinity of the shear pins and thereby reduce its viscosity, aiding in impregnation of the fiber material. Heating the shear pins will likewise aid in keeping the heated fiber at a higher temperature, also promoting substantially complete

35 wetting of the fibers. Because of the high temperatures of the fiber material used in the

process of the invention, there will usually be no added benefit to including more than one pair of shear pins unless the molten resin composition is exceptionally high in viscosity.

The viscosity of the molten resin composition should be low enough to allow penetration by the fiber material without substantial destruction of the fiber. The viscosity may, however, be quite high, and it is contemplated that the process may be carried out with most thermoplastic materials, even those having high molecular weights. On the other hand, the molten polymer composition may have very low viscosity, for example 2 Pascals or lower. In a preferred embodiment, though, due to the generally better physical properties available with higher molecular weight materials, the viscosity of the molten polymer composition is at least about 125 Pascals, more preferably at least about 150 Pascals, even more preferably at least about 175 Pascals, and still more preferably at least about 200 Pascals. Preferably, the viscosity of the molten resin composition used to impregnate the heated fiber material is from about 10 Pascals to about 250 Pascals, more preferably from about 100 Pascals to about 250 Pascals, and even more preferably from about 125 Pascals to about 250 Pascals. Viscosities of from about 160 Pascals to about 220 Pascals are also preferred.

In another embodiment of the invention, one or more shear pins include an opening such as a hole, slit, or slot through which the molten impregnating resin composition may be exuded. The slot preferably is located so as deliver the molten impregnating resin composition to a point where it will come into contact with the heated fiber material before or during the point where the fiber comes into contact with the shear pin. Thus, it is contemplated that the molten resin composition is delivered from an opening to the nip formed between the fiber material and the shear pin (the leading angle) or at area where the fiber material actually contacts the shear pin.

Once the prepreg exits the bath container, the applied molten resin begins to cool. The dwell time of the fiber in the bath, the time during which the fiber material is contacting the molten resin composition before cooling of the molten resin composition begins, is preferably no more than about 10 seconds. Dwell times of no more than about 5 seconds are more preferred, and no more than about 2 seconds even more preferred. Dwell times of less than 1 second, and even less than half of a second, to attain substantially no voids in the prepreg are entirely possible in the practice of the invention and preferred over longer times. The dwell time is controlled by the length of the bath and the rate at which the fiber is pulled through the bath in the pultrusion process. It is preferred for the fiber material to be impregnated at a rate of at least about 10 feet (305

cm) per minute, more preferably at least about 20 feet (610 cm) per minute, even more preferably at least about 30 feet (914 cm) per minute, and yet more preferably at least about 40 feet (1220 cm) per minute. In a preferred embodiment, the fiber bundle moves at a rate of from about 25 feet (762 cm) per minute to about 60 feet (1829 cm) per

5 minute, more preferably from about 35 feet (1067 cm) per minute to about 55 feet (1676 cm) per minute, and yet more preferably from about 40 feet (1220 cm) per minute to about 55 feet (1676 cm) per minute. Optionally, the apparatus of the invention further includes molding equipment for forming the prepreg into an article of a desired shape.

10 In one particularly preferred embodiment, a glass roving is impregnated with an acid- or anhydride-modified polyolefinic material, in particular with a maleic anhydride modified polyethylene or polypropylene material. Maleic anhydride modification is advantageous when a stiffer part is desired. In particular, up to about 10%, preferably from about 1% to about 10%, particularly preferably from about 2% to about 7%, of the maleic anhydride modification may be included. Impregnating glass rovings with  
15 maleated polyethylene or maleated polypropylene according to the method of the inventions provides materials having unexpectedly improved physical properties. While not wishing to be bound by theory, it is believed that the unexpectedly good properties may be due to crystallinity forming at the glass interface. It is theorized that, due to the fact that the hottest part of the prepreg is the fiber, and hence that the impregnating resin  
20 is hottest at the fiber interface, the crystals of a crystallizable polymer are able to form more slowly at the filament interface, creating a stronger adhesion between the polymer and the glass filament. It is further theorized that because the fiber is hotter than the surrounding resin, the maleic anhydride groups could tend to volatilize and migrate in higher concentration to the filament surface where they lower viscosity and increase  
25 interface wetting, again promoting unexpectedly strong interfacial adhesion between filament and polymer. Other polymers that tend to form crystalline regions or that are modified to enhance adhesion may also be employed.

A further advantage available from having the fiber hotter than the resin composition is that additives have a longer time to interact with the filaments or  
30 thermosetting compositions are able to react more fully, particularly at the critical interface with the fiber material. The result is that the process of the invention allows for improved physical properties that were unavailable using previously known processes.

One of the distinguishing aspects of this process is that the fibers, by virtue of their higher temperature, are the last items to cool upon exiting the bath, thereby (a)  
35 providing a longer time for the adhesion process, (b) changing how crystallization takes

place at the interface, and (c) possibly causing the maleic anhydride to migrate to the interface to lower viscosity and increase wetting. Another advantage of the hot fibers is that it allows use of substantially higher viscosity resins, eg., of greater than 200 pascals.

After the fibers of the roving or tow have cooled to approximately the temperature of the matrix resin bath, the amount of resin adhering to the exterior of the bundle may be increased or decreased in order to achieve a desired ratio of resin to fiber for the impregnated bundle. In particular, the impregnated roving bundle may be fed through a shearing mechanism at a rate that will allow laminar flow of the resin, and then through a sizing die, in order to give the roving or tow its final desired shape and resin percentage.

When a prepreg is formed with a fiber bundle, the sizing die may be used to flatten the impregnated fiber bundle into a tape. The tape may then be woven to form a prepreg mat or cloth. Because the fiber bundle was fully or substantially fully wet out, each of the bundle, the tape, and the cloth or mat made from the tape is drapable. As an added benefit in the case of a glass roving, weaving the roving into a cloth after it has been fully impregnated is preferred to weaving the unimpregnated glass because of the difficulties attendant in handling the raw glass.

While the thermoplastic resin composition is still hot enough to be soft, or while a thermosetting resin composition is not yet fully cured, the prepreg may be further modified by adding an outer layer ("cladding") of a different resin composition. This may be accomplished by pulling the prepreg product through a bed of powdered resin or polymer of the desired composition. A prepreg roving or tow may also be clad with an outer layer of a different polymer composition by a wire coating process. Alternatively the not yet fully cooled or fully cured prepreg may be coated with a second reinforcing material, such as a pulverulent reinforcing material (e.g., a mineral reinforcing material) or chopped or finely chopped fibers. If coated with a second reinforcing material, the prepreg is preferably passed between compaction rollers, preferably heated, to aid in firmly attaching the second reinforcing material to the prepreg. The prepreps coated with a second reinforcing material could be chopped up and used in injection molding. If the prepreg is coated with carbon, it could be used for forming parts that have electrostatic dissipation properties.

The polymer of the cladding may be chosen to lend important properties to the article to be formed from the prepreg material. For example, the cladding may be a higher molecular weight version of the same polymer. As another example, the fiber material can be impregnated with a nylon polymer and then clad with a polyethylene or polypropylene composition. A polycarbonate prepreg can be coated with a polyethylene

cladding to provide better chemical resistance. A polyphenyl sulfide could be coated with a nylon polymer composition. A polypropylene prepreg could be coated with polyethylene to combine the better mechanical properties of polypropylene with the toughness of the polyethylene. In many cases, the cladded prepregs are advantageously  
5 formed into articles by compression molding to keep the different polymers of the prepreg and the cladding separate.

The roving or tow may then be wound onto creels, chopped into strands of a desired length, for example at least about 3 mm in length, and up to about 76 mm in length, or used immediately in a pultrusion or forming operation. A tape or cloth may  
10 also, for example, be wound onto a creel for use in a later forming process.

The apparatus of the invention, in another embodiment, includes a heater for heating the fibrous reinforcing material and a compressing unit for pressing the heated fibrous reinforcing material together with a solid body of a matrix resin composition. The heater is located so that it can provide the fibrous reinforcing material to the compressing  
15 unit with the fibrous reinforcing material being at a temperature that is sufficient to produce a prepreg having no voids or substantially no voids. The temperature to which the fibrous reinforcing material is heated is thus sufficient to cause the impregnating resin to fully or substantially fully wet out the fibrous reinforcing material. In particular, the heater is one that is capable of heating the reinforcing material to a temperature above  
20 the melting point, softening point, or glass transition temperature of the matrix resin, and preferably a temperature above about 350°F and up to about 800°F, as measured at the point where the reinforcing material enters the compressing unit.

A mat or sheet may be preheated as described and then compressed with the matrix resin composition into a sheet of laminate. Sheet molding compounds may be  
25 produced according to this variation of the present process.

In general, the prepregs of the invention may comprise from at least about 1% by weight resin, and up to about 150% by weight resin, based upon the weight of the fiber. The preferred ranges of the weight of resin included in the prepreg will depend upon the specific resin and reinforcing material used, as well as upon the desired properties and  
30 use of the article to be formed by the process. Optimum ratios of resin to fiber may be determined according to known methods. In a preferred embodiment, the resin is at least about 25% by weight, and up to about 75% by weight, based upon the weight of the reinforcing fiber.

The preferred impregnated roving or tow produced according to the inventive  
35 methods may be described as a "fully impregnated" roving or tow; that is, the interface



between the fibers and the resin is substantially free of voids. An impregnated fiberglass roving, for example, has a set and uniform dimension with a given amount of thermoplastic resin matrix. This impregnated roving can be molded quickly into a finished part having substantially no voids and having excellent properties without the need for a lengthy or rigorous consolidation step. Thermoplastic composite matrices are preferred over thermoset matrices when properties of toughness, capacity for recycling and/or reforming and/or post-forming of the piece, resistance to UV degradation, or other specific properties available in thermoplastic mediums are required.

It is known in the art that the properties developed in the final article are dependent upon the impregnation process and the consolidation and other fabrication steps following impregnation. This is particularly true for high viscosity thermoplastics that are impregnated neat (that is, without including solvent). The prepregs produced according to the methods of the invention have uniform dimensions, homogenous distributions of the impregnated resin, and are preferably essentially free of voids.

The impregnated fibers of the invention may be used as unidirectional, woven (e.g., fabric), or random (chopped) materials. The fibers may be used as unidirectional tows, such as those of 3000, 6000, and 12,000 filaments per tow that are usual in the industry, typically of lengths up to about 1000-m (3000-foot). The fibers may also be formed into unidirectional tapes, such as tapes having the typical dimensions of 150-mm (6-inch) or 300-mm (12-inch) widths and lengths of up to 50 m (150 feet). Tapes typically range from about 80 g/m<sup>2</sup> to about 190 g/m<sup>2</sup>, and a typically 0.125 mm (5 mils) thick. Prepreg unidirectional tow may optionally be woven into a fabric.

The prepreg of the invention is cut or trimmed to a desired shape. Plies can be trimmed from a prepreg roll into the desired shape, size, and orientation by means of any cutting device known in the art. Plies can be stacked by hand or by machine in what is known in the art as a lay-up operation.

The prepreg of the invention may be formed into articles by compression molding, filament winding, vacuum bagging, or combinations of these processes. Compression molding is usually employed for forming complex shapes and is used in a preferred embodiment of the invention.

A stack of assembled plies may be consolidated using heat or a combination of heat with either pressure or vacuum for a period of time sufficient to consolidate the plies. The time of consolidation is preferably from about 1 minute to about 20 minutes at a temperature preferably greater than the melting point, softening point, or T<sub>g</sub> of the resin matrix, preferably at least 20°C above the melting point, softening point, or T<sub>g</sub> of the

resin, and particularly preferably above about 20°C, and below about 100°C above the melting point, softening point, or Tg of the resin. Optionally, additional resin may be added to help bind or ply the tows together, particularly in a wire coating process.

The pultruded prepreg may be cut into sections to be formed by extrusion methods. Suitable extruders are single-screw extruders or extruders in which the polymer is driven by a plunger. If the pellets of the inventive prepreg are to be melt blended during extrusion, this may be accomplished using either a single-screw extruder or a static mixer. The excellent fiber wetting in the prepreg prevents substantial fiber damage during the forming processes. Thus, there is usually no significant fiber attrition.

The prepreg may be formed into articles according to any of the methods known in the art. For example, a compression molding or vacuum molding process may be used. Other processes, such as injection molding, thermoforming, blow molding, calendering, casting, extrusion, filament winding, laminating, injection molding, rotational or slush molding, transfer molding, lay-up or contact molding, or stamping may be used with the impregnated materials formed by the present methods.

The methods of the invention may be used to form many different kinds of useful articles. Examples of such articles include, without limitation, air bag canisters, bumper beams, frame cross members, automotive door frames, automotive body panels, high strength brackets, leaf springs, seat frames, skid plates, torsion bars, wiper arms, fencing, gears, highway reinforcing rod, pipe hangers, power line cross arms, boat trailers, canoes, outboard engine cowlings, bow limbs, car top carriers, and horse shoes. The inventive methods and novel prepregs may be advantageously used to form any article that might be formed using previously known prepregs and methods.

The invention is illustrated by the following examples. The examples are merely illustrative and do not in any way limit the scope of the invention as described and claimed. All parts are parts by weight unless otherwise noted.

#### Example 1.

Referring now to Fig. 1, 1 kg of amorphous nylon resin (Grivory 21, available from EMS-American Grilion Inc., Sumpter, SC) is charged to resin tank 2 between heated platens 4 and 6. The resin is heated to about 465°F under a pressure of about 28 psi applied from piston 8. A roving of S2 glass (750 yield, 933 sizing, available from Owens Corning, Corning NY) is pulled from a creel 10 and through an 18 inch radiant heat tube 12, the tube being heated to a temperature of about 595°F. Exiting the heat tube, the roving is passed through a tapered fiber inlet die 14, over and around two 0.3 inch radius shear pins 16 and 18 heated to 495°F and positioned about one inch apart horizontally

and about 1.5 inches apart vertically in the resin bath, and finally through a sizing die 20 located opposite the inlet die. The sizing die has a rectangular cross section with a dimensions of 0.25 in. x 0.009 in. The hot fiber is pulled at a rate of about 42 ft/min. through the bath. The resulting impregnated tow is 0.25 in. wide, 0.0095 in. thick, and has no measurable air void content. The impregnated tow is wrapped onto a flat 2-bar rotating creel 22. Forty-nine wraps, 2 in. wide, are made on the creel. The wound creel is then place into a pre-heated tool at 480°F and 200 psi for 8 minutes. The tool is then quenched. The resulting part is 18 inches long, 2 inches wide, and 0.175 inch thick with no measurable void content.

10 Example 2.

A tow of S2 glass (750 yield, 933 sizing, available from Owens Corning, Corning NY) is impregnated with amorphous nylon resin (Grivory 21, available from EMS-American Grilion Inc., Sumpter, SC) using the same procedure described in Example 1, except that the resulting impregnated tow is sized to 0.25 in. wide and 0.011 in. thick.

15 The impregnated tow has a resin content of 54% by weight. The impregnated tow is chopped into three-inch sections. The chopped impregnated tow, 713.25 grams, is placed into a 10 in. x 18 in. tool that is preheated to 515°F and is pressurized to 300 psi for 8 min. The tool is then quenched. The resulting part weighs 653 grams and has an average thickness of 3.82 mm.

20 Example 3.

A woven S2 fiberglass cloth with Owens Corning 933 sizing is impregnated with the same amorphous nylon resin and is used in Examples 1 and 2. The fiberglass cloth has the following parameters: FDI Style # 1406 (a designation of Fabric Development Corporation, Quakertown, PA); yarn type, S2 glass 75 1/0, 933A; weave, 8 harness satin; count, 120 x 30; width, 39.25 in.; weight, 11.74 oz per square yard; and thickness, 0.0017 in. as measured at 1 psi.

The nylon is extruded into a film 0.0045 in. thick and placed on a creel. The S2 cloth is also on a creel.

Referring now to Fig. 2, the nylon film 24 is pulled from creel 26 and the glass cloth 28 is pulled from creel 30. The glass cloth is pulled through a radiant heater 32. The radiant heater heats the fiber to 600°F, while a reinforced Teflon® sleeve 34 on top of the heater carries the film. Heat escaping from the heater raises the temperature of the film to between 425 and 475°F. The cloth and the film are then fed into two pair of 36-inch compaction rollers 35 and 36. The compaction rollers are covered with Teflon®

and two inches of silicone rubber. The rollers exert a force of from 700 to 800 psi on the film and cloth. The impregnated cloth is taken up on creel 38.

The impregnated cloth is cut into sections 10 in. x 18 in. Eight plies are then stacked into a preheated (525°F) tool and compressed at 300 psi for 12 minutes. The tool is then quenched. The resulting laminate is uniform and 0.128 in. thick.

Parts prepared according to the procedures in the above Examples 2 and 3 were tested for physical properties with the following results.

	Example 2	Example 3
Tensile Stress (ksi)	32.81	134.8
Young's Modulus for Tensile Stress (Msi)	2.001	6.253
Loss on Ignition (LOI)	58.752	31.894
Glass Content (wt%)	41.246%	68.106
Compressive Stress (ksi)	46.74	90.43
Young's Modulus for Compressive Stress(Msi)	2.088	7.271

#### Example 4.

A polyethylene terephthalate, glycol addition resin (melt temperature = 195.8°F, available from Eastman Chemical Co., Kingsport, TN) is impregnated into a warp unidirectional fabric (Style A130A from Knytex). The fabric has a silane chemistry finish with a polyester stitch in the weft direction. The prepregging procedure of Example 3 is followed, except that the fiber is heated only to 425°F. The prepreg is cured into sheets 10 in. x 18 in. Eighteen plies are stacked into a heated mold and compressed at 200 psi for ten minutes. The tool is then quenched and the laminate panel is removed from the tool.

The panel was evaluated using a 3-point flexure test. The mean values for properties measured on five samples were:

Displacement at Max. Load	0.1807 in.
Maximum Load	875.7 lb.

Stress at Max. Displacement 112.9 ksi

Load Modulus 5.370 Msi

#### Example 5.

Referring now to Fig. 3, an amorphous nylon matrix resin (Grivory 21, available from EMS-American Grilon Inc., Sumpter, SC) was fed into extruder 40 from hopper 42. The extruder was a Prodex one-inch extruder having a variable drive. The resin was melted in the extruder in a first zone at 485°F and a second zone at 500°F, with the extruder turning at a rate of 40 rpm. The molten resin was forced into an impregnation die 44 having three heating zones.

Separately, a tow of S2 glass 46 (750 yield, 933 sizing, available from Owens Corning, Corning NY) is pulled from a creel 48 and through a ThermCraft Tube Furnace 50 (Model 21.5-12-1ZH), the tube being heated to a temperature of about 850°F. Exiting the heat tube, the fiber is threaded through the impregnation die, entering through an inlet die 52 with an opening of 0.25 inch by 0.007 inch and exiting through a sizing die 54 with an opening of 0.25 inch by 0.009 inch.

The impregnation die face was heated to 510°F and the resin delivery channel 56 was heated to 520°F. Die shear pins 58 in the impregnation die were heated to 535°F. The impregnation die had a surface temperature of 625°F.

The hot fiber was pulled at a rate of about 44 ft/min. through the impregnation die. The resulting impregnated tow was 0.25 inch wide, 0.009 inch thick, had a resin content of 46.78% by weight, and glass content of 53.21 and had no measurable air void content. The impregnated tow was wound onto a frame 60 having a width of 6 inches and a length of 20 inches with 175 wraps. The lay-up was then placed into a mold heated to 518°F and compressed under 200 psi for 10 minutes, then quickly cooled to room temperature. The resulting composite panel had an average thickness of 0.132 inches and no measurable void content. The mechanical properties of the panel were measured with the following results.

#### ASTM D695-90 Compressive Properties of Rigid Plastics

Method 7, width 0.5 in., thickness 0.13 in.

30	Load at Maximum (lbs.)	4562
	Stress at Maximum (ksi)	70.0
	%Strain at Maximum	2.497%
	Seg. Mod. 10-40% Maximum Load	
	(psi * 10 <sup>6</sup> )	2.535
35	Displacement at Maximum (in.)	0.025

ASTM D638 Tensile Strength

Method 37, width 0.5 in., thickness 0.13 in.

	Load at Maximum (lbf)	3152
	Stress at Maximum (psi * 10 <sup>3</sup> )	48
5	%Strain at Maximum	0.893%
	Seg. Mod. 15-40% of Maximum Load	
	(psi * 10 <sup>6</sup> )	4.856
	Young's Modulus 15-40% (manually measured)	
	(psi * 10 <sup>6</sup> )	5.128
10	Young's Modulus 15-40% (automatic)	
	(psi * 10 <sup>6</sup> )	5.753

ASTM D790 Flexural Strength

Method 14, width 0.974 in., thickness 0.145 in.

	Load at Yield (Maximum Load) (lb.)	695
15	Stress at Yield (Maximum Load) (psi * 10 <sup>3</sup> )	121
	Strain at Yield (Maximum Load) (in./in.)	0.032
	Displacement at Yield (Maximum Load) (in.)	0.21
	Seg. Mod. 15-40% of Maximum Load	
	(psi * 10 <sup>6</sup> )	3.897
20	Young's Modulus 15-40% of Maximum Load (manually measured)	
	(psi * 10 <sup>6</sup> )	4.192
	Young's Modulus (automatic) (psi * 10 <sup>6</sup> )	4.015

ASTM D2344 NOL Short Beam Shear Strength

Method 3, span 0.675 in., width 0.26 in., depth 0.143 in.

25	Load at Yield (Maximum Load) (lb.)	452
	Laminar Shear Strength (psi * 10 <sup>3</sup> )	9.1

## Example 6.

A roving of E glass (675 yield, 193 sizing, available from Owens Corning, Corning NY) is pulled from a creel at a rate of 50 feet per minute (1524 cm/min) and with 3 pounds of tension on the roving. The fiber was first pulled through a 17-inch radiant heat tube having an oven temperature of 1050°F. Upon exiting the oven, the glass roving has a measured fiber temperature of about 560°F. The fiber is then pulled through a bath of molten polyethylene (5% maleated) at 435°F located approximately one inch immediately following the oven. The bath is similar to that of Figure 1 but is fed by an extruder and does not have a pressurizing piston. It does have a pair of opposing shear pins. The

roving is passed through a tapered fiber inlet die 14, over and around two 0.3 inch radius shear pins 16 and 18 heated to 435°F and positioned about one inch apart horizontally and about 1.5 inches apart vertically in the resin bath, and finally through a sizing die 20 located opposite the inlet die. The sizing die has a rectangular cross section with a

5 dimensions of 0.25 in. x 0.009 in. The molten polymer bath is approximately 4 inches long, providing a dwell time for the fiber in the molten polymer of approximately 0.4 second. The resulting impregnated tow is 0.25 in. wide, 0.0095 in. thick, and has no measurable air void content (confirmed using a scanning electron microscope where there was no observable air void content).

10 The resulting prepreg was subsequently chopped into one inch lengths and molded at 285°F under 50 psi for one minute. The test properties (60% HDPE/40% fiber) were:

Tensile Properties of Plastics  
Method: ASTM 638

Stress (psi*10 <sup>3</sup> )			Modulus (psi*10 <sup>6</sup> )		
Average	Std. Dev.	95% C.L.	Average	Std. Dev.	95% C.L.
6.623	1.579	1.960	1.151	0.705	0.876

Flexural Properties  
Method: ASTM D 790

Stress (psi*10 <sup>3</sup> )			Youngs Modulus (psi*10 <sup>6</sup> )		
Average	Std. Dev.	95% C.L.	Average	Std. Dev.	95% C.L.
17.497	2.121	2.633	0.768	0.138	0.171

Compression Properties  
Method: ASTM D 695

Stress (psi*10 <sup>3</sup> )			Segment Modulus (psi*10 <sup>6</sup> )		
Average	Std. Dev.	95% C.L.	Average	Std. Dev.	95% C.L.
9.124	2.520	3.128	0.701	0.189	0.235

Unnotched Izod Impact  
Method: ASTM D 4812

ENERGY FT-LBS (J/M)		
Average	Std. Dev.	95% C.L.
29.06	6.68	0.72
(1551.7	(356.66)	(38.19)
8)		

Notched Izod Impact  
Method: ASTM D 265

Energy FT-LBS (L/M)		
Average	Std. Dev.	95% C.L.
29.38	7.75	0.72
(1568.7	(413.80)	(38.19)
3)		

Drop Dart Impact  
Method: ASTM D 5628  
Sample Thickness 0.130"

Foot LBS	Number Of Failures	Number Of Passes	Fail or Pass
3	1	3	Pass
6	2	3	Pass
6.5	1	3	Pass
7	3	2	Fail
8	3	0	Fail

Loss of Ignition

NO of Specs	Ignition Loss %	Glass Content %
3	64.1	35.9

**Example 7.**

The process of Example 6 is repeated using a polypropylene polymer (2.5% maleated).

The prepreg obtained in Example 7 was compared to Verton (Grade: MFX-7008 H8BK881), a glass filled polypropylene prepreg available from LNP.

5

	EXAMPLE 7	LNP Verton
%Ash	42.7	39.7
MFR	1.86	
Flex. Mod. (kpsi)	1429	1157
Flex. Strength (kpsi)	27.8	20.6
Tensile Mod. (kpsi)	1700	1412
Tensile Strength (kpsi)	20.21	14.42
Break Elong.) (%)	2.2	1.8
HDT @ 264psi°F(°C)	320(160.3)	308(153.5)
Notched Izod (ft-lb/in)	3.48	2.75
Break Type %	P/100%	C/100%

The invention has been described in detail with reference to preferred embodiments thereof. It should be understood, however, that variations and modifications can be made within the spirit and scope of the invention and of the

10 following claims.



What is claimed is:

1. A process for impregnating a continuous fiber material, comprising the steps of:
  - (a) providing a continuous feed of a continuous fiber material having a tension of at least about 0.25 pound;
  - 5 (b) heating the fiber material to a first temperature of at least about 392°F (200°C);
  - (c) contacting said reinforcing material when heated with a molten resin composition at a second temperature that is less than the first temperature;wherein a shear force is applied to the reinforcing material at a time when the reinforcing material is in contact with the molten resin composition, to form an impregnated fiber  
10 material having substantially no voids.
2. A process for impregnating a continuous fiber material, comprising the steps of:
  - (a) providing a continuous feed of a continuous fiber material having a tension of at least about 0.25 pound;
  - 15 (b) heating the fiber material to a first temperature of at least about 392°F (200°C);
  - (c) pulling the heated reinforcing material through a bath of molten resin composition and over at least one shear pin in the bath, wherein the temperature of the molten resin composition is lower than the first temperature, and further wherein the dwell time of the reinforcing material in the bath is less than about 10 seconds;
  - 20 wherein an impregnated fiber material having substantially no voids is formed.
3. A process according to either claim 1 or claim 2, wherein the first temperature is at least about 428°F (220°C).
- 25 4. A process according to either claim 1 or claim 2, wherein the first temperature is at least about 446°F (230°C).
5. A process according to either claim 1 or claim 2, wherein the first temperature is at least about 473°F (245°C).
- 30 6. A process according to either claim 1 or claim 2, wherein the first temperature is at least about 500°F (260°C).
7. A process according to either claim 1 or claim 2, wherein the first temperature is at least about 536°F (280°C).
- 35

8. A process according to any of claims 1-7, wherein the temperature of the molten resin composition is at least about 75°F (42°C) lower than the first temperature.

5 9. A process according to any of claims 1-7, wherein the temperature of the molten resin composition is at least about 100°F (56°C) lower than the first temperature.

10. A process according to any of claims 1-7, wherein the temperature of the molten resin composition is at least about 150°F (83°C) lower than the first temperature.

10

11. A process according to any of claims 1-7, wherein the temperature of the molten resin composition is at least about 200°F (111°C) lower than the first temperature.

12. A process according to any of claims 1-11, wherein the temperature of the molten resin composition is up to about 500°F (278°C) above the first temperature.

15

13. A process according to any of claims 1-12, wherein the fiber material is contacting the molten resin composition for no more than about 5 seconds before cooling of the molten resin composition begins.

20

14. A process according to any of claims 1-12, wherein the fiber material is contacting the molten resin composition for no more than about 2 seconds before cooling of the molten resin composition begins.

25 15. A process according to any of claims 1-12, wherein the fiber material is contacting the molten resin composition for no more than about 1 second before cooling of the molten resin composition begins.

16. A process according to any of claims 1-15, wherein the fiber material is  
30 impregnated at a rate of at least about 10 feet (305 cm) per minute.

17. A process according to any of claims 1-15, wherein the fiber material is impregnated at a rate of at least about 20 feet (610 cm) per minute.

18. A process according to any of claims 1-15, wherein the fiber material is impregnated at a rate of at least about 30 feet (914 cm) per minute.

19. A process according to any of claims 1-15, wherein the fiber material is  
5 impregnated at a rate of about at least 40 feet (1220 cm) per minute.

20. A process according to any of claims 1-19, wherein the viscosity of the molten resin composition is at least about 125 Pascals.

10 21. A process according to any of claims 1-19, wherein the viscosity of the molten resin composition is at least about 150 Pascals.

22. A process according to any of claims 1-19, wherein the viscosity of the molten resin composition is at least about 200 Pascals.

15

23. A process according to any of claims 1-19, wherein the viscosity of the molten resin composition is from about 125 to about 250 Pascals.

24. A process according to claim 2, wherein the bath is pressurized.

20

25. A process according to claim 24, wherein the pressure of the bath is at least about 10 psi.

26. A process according to any of claims 1-25, wherein the reinforcing material is a  
25 filament bundle.

27. A process according to any of claims 1-26, wherein the reinforcing material comprises a material selected from the group consisting of glass fibers, carbon fibers, graphite fibers, polymeric fibers, aramide fibers, and mixtures thereof.

30

28. A process according to any of claims 1-27, wherein the reinforcing material comprises a high silica glass fiber.

29. A process according to any of claims 1-28, wherein the reinforcing material is  
35 coated with a sizing or finishing material.

30. A process according to any of claims 1-29, wherein the reinforcing material comprises a high silica glass fiber coated with a sizing.

5 31. A process according to any of claims 1-30, wherein the resin composition comprises at least one resin selected from the group consisting of ABS, acrylics, acrylonitriles, epoxies, polyarylether ketones, polyether etherketones, amino resins, phenolic resins, polyamides, polyimides, polyolefins, polycarbonates, polyesters, polyetherimides, polyarylene sulfides, polyvinyl resins, polyurethanes, polysulfones, and  
10 copolymers and mixtures thereof.

32. A process according to any of claims 1-31, wherein the resin composition is a thermoplastic composition.

15 33. A process according to any of claims 1-31, wherein the resin composition comprises at least one thermosetting resin selected from the group consisting of epoxies, polyesters, and phenolic resins.

20 34. A process according to any of claims 1-33, including the further step of drawing the prepreg material through a sizing die.

35. A process according to claim 2, wherein there are from 1 to about 4 shear pins.

25 36. A process according to claim 35, wherein said shear pins are heated to a temperature above the temperature of the molten resin composition.

37. A process according to claim 1, wherein the shear force is applied to the reinforcing material by drawing the heated reinforcing material over at least one shear pin and further wherein said shear pin has an opening for providing the molten resin  
30 composition to the reinforcing material.

38. A process according to any of claims 1-37, including a further step of providing a cladding layer to the impregnated fiber material.

39. A process according to claim 38, wherein the cladding layer is provided by pulling the hot impregnated fiber material through a chopped or pulverulent material.
40. A process according to claim 39, wherein the chopped or pulverulent material is a reinforcing material.
41. A process according to claim 39, wherein the pulverulent material is a carbon black.
42. A process according to claim 38, wherein the impregnated fiber material is coated with a polymer composition.
43. A process according to any of claims 1-37, including a further step of cutting the impregnated fiber material into lengths of from about 3 mm to about 76 mm.
44. A process according to any of claims 1-37, wherein the impregnated fiber material is a flattened fiber bundle.
45. A process according to claim 44, including a further step of weaving the flattened fiber bundle into a mat or cloth.
46. A process according to any of claims 1-45, wherein the resin composition includes a resin capable of forming crystalline regions upon cooling.
47. A process according to claim 46, wherein the resin composition includes an acid-modified or anhydride-modified polypropylene or polyethylene.
48. A process according to claim 47, wherein the fiber material is glass.
49. A process according to any of claim 1-48, comprising a further step of forming the prepreg into a desired shape.
50. A process according to claim 35, wherein the prepreg is formed by a method selected from the group consisting of lay-up, compression molding, injection molding, thermoforming, blow molding, calendering, extrusion, casting, laminating, filament

winding, rotational molding, transfer molding, stamping, and weaving operations, and combinations thereof.

51. An article formed by the process of any of claims 1-50.

5

52. A prepreg material formed by a step of impregnating a fibrous reinforcement at a first temperature with a molten resin composition, wherein the temperature of the molten resin composition is at least about 75°F (42°C) lower than the first temperature, and further wherein said prepreg material has substantially no voids.

10

53. A prepreg material according to claim 52, comprising from about 25 to about 75% by weight resin.

54. A prepreg material according to claim 52, comprising a thermoplastic composition.

15

55. A prepreg material according to claim 52, comprising a thermoset composition.

56. A prepreg material according to claim 52, comprising a material selected from the group consisting of glass fibers, carbon fibers, graphite fibers, polymeric fibers, aramide fibers, and mixtures thereof.

20

57. A prepreg material according to claim 52, wherein the reinforcing material comprises a high silica glass fiber.

25 58. A prepreg material according to claim 52, wherein the reinforcing material is coated with a sizing or finishing material.

59. A fiber-reinforced article prepared according to the process of claim 22.

30 60. A fiber-reinforced article prepared according to the process of claim 23.

61. A fiber-reinforced article prepared according to the process of claim 24.

62. An apparatus for impregnating a continuous fiber material, comprising a heater for heating a fibrous reinforcing material to a first temperature, a means for applying a

35

tension to the fibrous reinforcing material, and a container having an inlet and an outlet for the heated fibrous reinforcing material in which the heated reinforcing material is contacted with a molten resin composition; wherein container includes therein a shearing mechanism for the fibrous reinforcing material.

5

63. An apparatus according to claim 62 wherein the shearing mechanism comprises at least one shear pin.

10

64. An apparatus according to claim 62, wherein the shearing mechanism comprises at from one to about four shear pins.

65. An apparatus according to claim 62, wherein the shearing mechanism comprises a pair of shear pins.

15

66. An apparatus according to one of claims 63-65, wherein at least one shear pin has an opening to provide the molten resin composition.

67. An apparatus according to any of claims 63-66, wherein at least one shear pin is connected to a heat source for heating the shear pin.

20

68. An apparatus according to any of claims 62-67, wherein said container further comprises a unit for applying pressure to the resin composition.

69. An apparatus according to any of claims 62-68, wherein said outlet is a sizing die.

25

70. An apparatus according to any of claims 62-69, further including molding equipment for forming the reinforced matrix resin composition into an article of a desired shape.

30

71. An apparatus according to any of claims 62-70, wherein the heater is selected from the group consisting of radiant heaters, inductive heaters, infrared tunnels, ovens, and combinations thereof.

35

72. An apparatus for preparing a reinforced matrix resin composition, comprising a heater for heating a fibrous reinforcing material to a first temperature and a compressing

unit for pressing the heated fibrous reinforcing material together with a resin composition; wherein the first temperature, as measured at the point where the heated fibrous reinforcing material is first brought into contact with the resin composition, is above the melting point, softening point, or  $T_g$  of the resin composition.

5

73. An apparatus according to claim 72, wherein the compressing unit is at least one pair of compaction rollers.

10

74. An apparatus according to claim 72, wherein said temperature is up to about 500°F above the melting point, softening point, or  $T_g$  of the resin composition.

75. An apparatus according to claim 72, further comprising a sizing die located after said compressing unit.

15

76. An apparatus according to claim 72, further including molding equipment for forming the reinforced matrix resin composition into an article of a desired shape.

20

77. An apparatus according to claim 72, wherein the heater is selected from the group consisting of radiant heaters, inductive heaters, infrared tunnels, ovens, and combinations thereof.

25

78. An apparatus for preparing a reinforced matrix resin composition, comprising a heater for heating a fibrous reinforcing material to a first temperature and a compressing unit for pressing the heated fibrous reinforcing material together with a crystallizable resin composition; wherein the first temperature, as measured at the point where the heated fibrous reinforcing material is first brought into contact with the resin composition, is sufficiently high to cause crystallization at the interface of said reinforcing material and said resin composition.

30

79. An apparatus according to claim 78, wherein said resin composition has a viscosity greater than 200 pascals.

80. An apparatus according to claim 78 wherein said crystallization is enhanced by the presence of a maleic anhydride group.



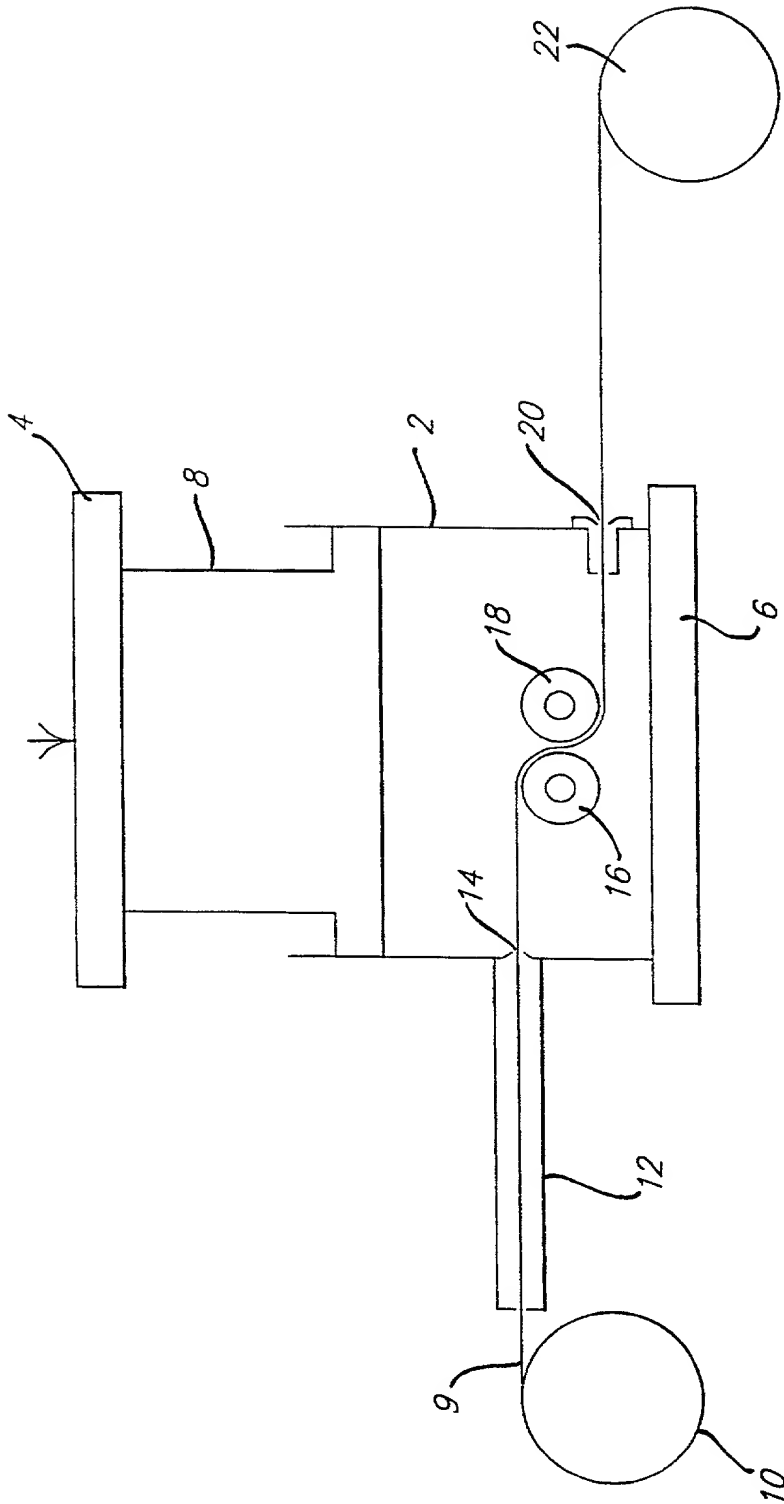


FIG. 1.

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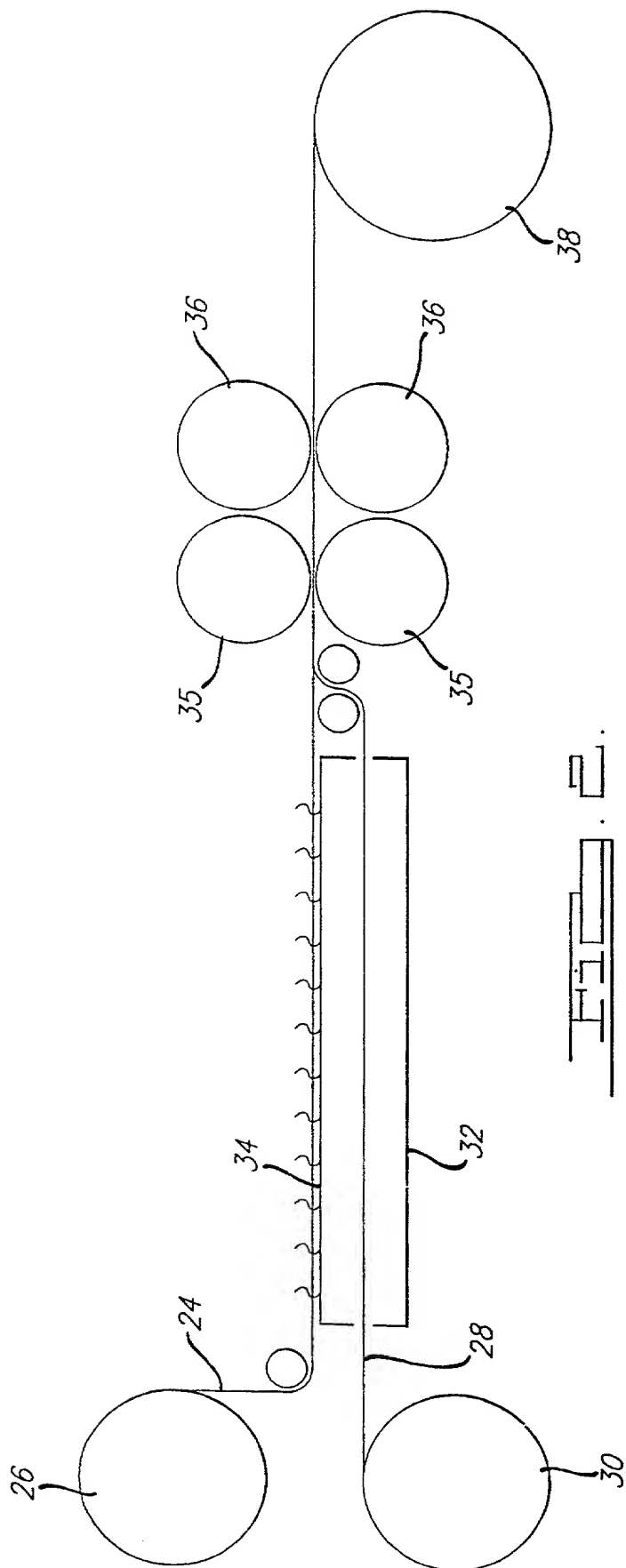
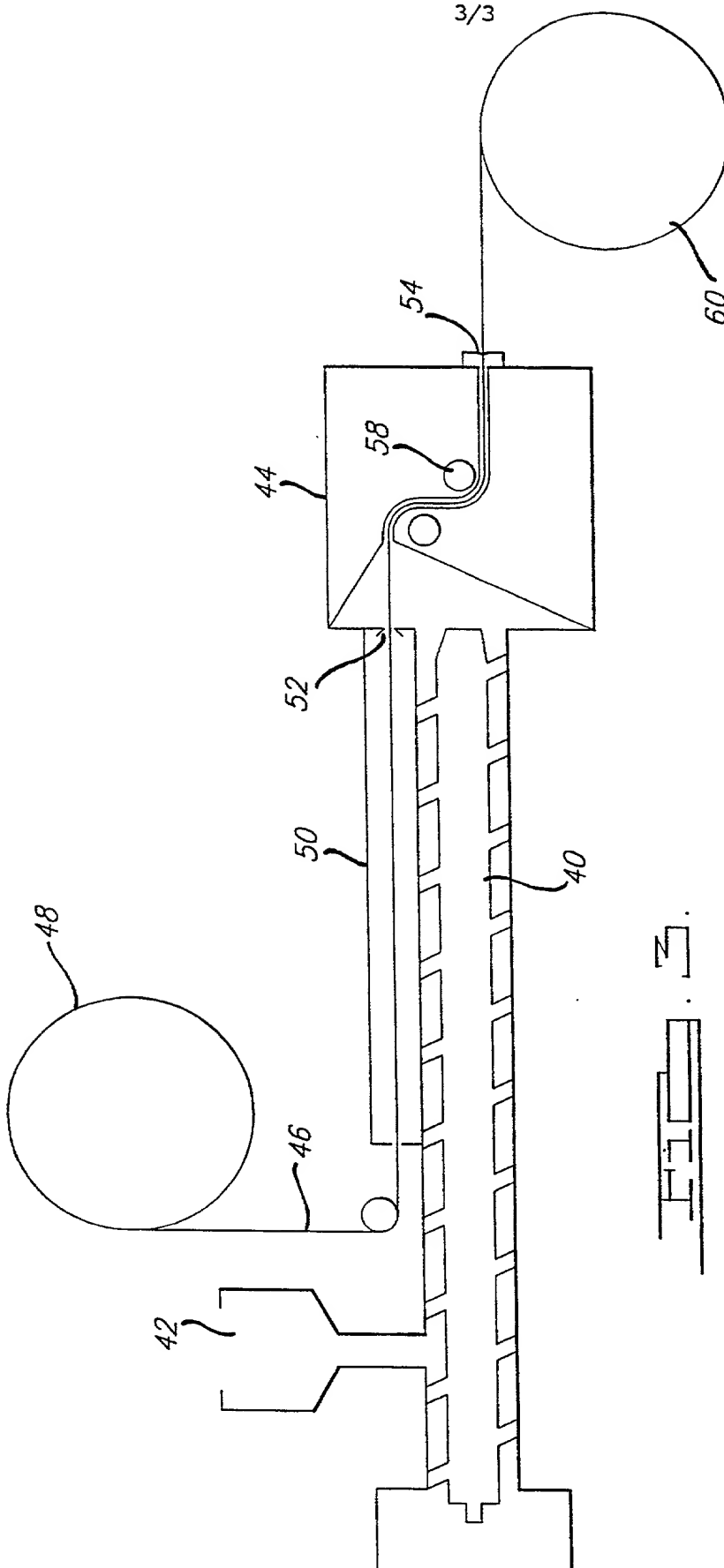
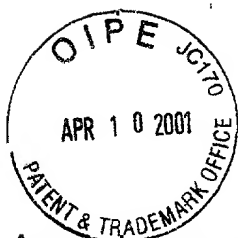


FIG. 2.

3/3





# DECLARATION AND POWER OF ATTORNEY

Atty. Dkt. No.: 405200002USD

## DECLARATION

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### METHOD OF PREPREGGING WITH RESIN AND NOVEL PREPREGS PRODUCED BY SUCH METHOD

the specification of which (check one)

- ☐ is attached hereto.  
or  
☒ was filed on November 20, 2000 as Application Serial No. 09/700,950 as a national stage entry of International Application No. PCT/US98/14122 filed July 8, 1998.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)				
APPN. SERIAL NO.	COUNTRY	DATE FILED (MM/DD/YYYY)	PRIORITY CLAIM	
			Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

## DECLARATION AND POWER OF ATTORNEY

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:


PRIOR PROVISIONAL APPLICATION(S)	
APPN. SERIAL NO.	DATE FILED (MM/DD/YYYY)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below:


PRIOR U.S. APPLICATION(S)		
APPN. SERIAL NO.	DATE FILED (MM/DD/YYYY)	STATUS - PATENTED, PENDING, ABANDONED
PCT/US98/14122	July 8, 1998	Revived
S/N 08/890,574	July 9, 1997	Issued 6-15-99; 5,911,932

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

### POWER OF ATTORNEY

I hereby appoint each practitioner at Customer No. 27572 (  ) of Harness, Dickey & Pierce, P.L.C., my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

### CORRESPONDENCE ADDRESS

I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Customer No. 27572 (  ), Harness, Dickey & Pierce, P.L.C., P. O. Box 828, Bloomfield Hills, Michigan 48303 (248) 641-1600.

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